

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit:
Ehrich, et. al.	:	Intellectual Property Law Department
Serial No.:	:	International Business
Filed:	:	Machines Corporation
Title: System and Method for Client-side	:	Software Group IP Law -- T81/503
Competitive Analysis	:	3039 Cornwallis Road
	:	Research Triangle Park, NC 27709

**INFORMATION DISCLOSURE STATEMENT**

The Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

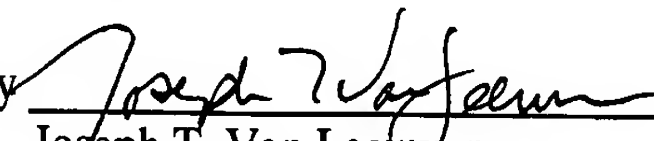
While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By   
Joseph T. Van Leeuwen  
Attorney for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Applicant: Ehrich, et. al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. RSW920040002US1

**U. S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
_____	AA 2002/0147637	Oct. 10, 2002	Kraft et al.	705	14	
_____	AB 2002/0042064	Nov. 15, 2001	Davis et al.	707	3	
_____	AC 2003/0033299	Feb. 13, 2003	Sundaresan	707	5	
_____	AD 2002/0184111	Dec. 5, 2002	Swanson	705	26	
_____	AE 2002/0107719	Aug. 8, 2002	Tsang et al.	705	10	
_____	AF 2002/0103737	Aug. 1, 2002	Briere	705	36	
_____	AG 2002/0073076	Jun. 13, 2002	Xu et al.	707	3	
_____	AH 2002/0035501	Mar. 21, 2002	Handel et al.	705	10	
_____	AI 6,078,924	Jun. 20, 2000	Ainsbury et al.	707	101	
_____	AJ 2003/0014331	Jan. 16, 2003	Simons	705	27	
_____	AK 2002/0116292	Aug. 22, 2002	Palatin	705	27	
_____	AL 6,205,432	Mar. 20, 2001	Gabbard et al.	705	14	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
_____	AM					
_____	AN					
_____	AO					
_____	AP					

Examiner  
Initial

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

_____	AQ	Liu et al., "Discovering Unexpected Information from Your Competitors' Web Sites," International Conference on Knowledge Discovery and Data Mining, 2001, pgs. 144-53.				
_____	AR	Fenstermacher et al, "Mining Client-Side Activity for Personalization," (8 pgs.)				
_____	AS	"Coast Webmaster 6.0," Reviewer's Guide, 2002, pgs 1-23.				
_____	AT	Mikami et al., "A Service Model in ISP Using User and Device a Subscription Procedure through Appliance Control Servers," <u>IBM Research Disclosure</u> , January 2002, (3 pgs.)				

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.